



NOTE: THIS FACILITY / SERVICE IS NOT AVAILABLE FOR RESIDENTS OF CERTAIN COUNTRIES.

In case of Sole Applicant, please fill the 'Nomination Form DA1' provided below.
In case of no nomination, please fill the 'No Nominee Declaration' provided below the Form DA1.

Nomination under Sec. 45 ZA of Banking Regulation Act, 1949, and Rule 2(1) of Banking Companies (Nomination) Rule, 1985, in respect of Bank Deposits.
I / We

nominate the following person to whom in the event of my/our/minor's death the amount of deposit in the account, particulars whereof are given below, may be returned by Deutsche Bank AG. Nominee Name_____

Name of the Branch where account is held _____

Nominee Address _____

Relation with nominee, if any _____ Age (in years) _____

If nominee is a minor#, his/her date of birth _____

#As the nominee is minor on this date, I/we appoint _____

to receive the amount of the deposit in the account on behalf of the nominee in the event of my/our/minor's death during the minority of the nominee.

Signature: _____

Name: _____

Address:

Date: _____

Signature: _____

Name: _____

Address:

Date: _____

^aStrike out if nominee is not minor.

*Where deposit is made in the name of minor, the nomination should be signed by a person lawfully entitled to act on behalf of the minor.

*Thumb impression(s) shall be attested by two witnesses.

Nominee name to be displayed on Bank Statement/ Passbook/FD receipt. Yes ☐ No ☐

I, _____ resident of _____ declare that I do not wish to avail the nomination facility for my account being opened with Deutsche Bank. _____ Branch.

I have been explained by the Deutsche Bank representative, the benefits of availing the nomination facility and I undertake to inform the Bank if I intend to avail the nomination facility in future.

Signature: _____

Date: _____

To be signed only if investments are made through Deutsche Bank in the same combination as applicants to Savings Accounts.
Please fill a different Investment Overview Form in case the applicant combination differs for Investment and Account.

The Applicant(s) request(s) the Bank, to keep a record in the name(s) of the Applicant(s) of the investments made through the Bank on the terms and conditions set out hereinafter, which the Applicant(s) confirm(s) having read and understood and agree(s) to be bound by the said terms and conditions including those excluding/limiting your liability. The Applicant(s) also agree(s) to be bound by any amendments and additions to the terms and conditions from time to time, as maybe, communicated by the Bank to the Sole/First Applicant from time to time. In case of Joint Applicants, all the Applicants, will be jointly and severally bound by the said terms and conditions. The Applicant(s) hereby declare(s) that:

- (i) All the details in the relationship record of the Applicant(s) are true and correct and any instructions given to the Bank to transact business on behalf of the Applicant(s) shall be in due conformity with the applicable laws as may, for the time being, be in force.
- (ii) The Applicant(s) is/are not a US citizen(s) or resident(s) or Greencard holder(s).
- (iii) Any tax implications arising out of any transaction entered into pursuant to this Agreement would be as per the provisions of the applicable laws of India.
- (iv) The Applicant(s) has/have executed and delivered or will execute and deliver to the Bank all such documents specified or as maybe specified by the Bank from time to time.
- (v) All the information submitted and declarations made by the Applicant(s) in this Application is/are true.

I/We have read & understood the Terms & Conditions of Bank's Investment Overview Form (copy of which has been sent to me/us is in my/our possession)

Sole/First Applicant/
Authorised Signatory

Joint Applicant 1/
Authorised Signatory 1

Joint Applicant 2/
Authorised Signatory 2

Name _____

Signature _____

Date:

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Date:

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[illegible]

Terms and Conditions

1. Opening and Operations of Investment Overview

Applicant(s) to purchase, hold, subscribe to, transfer, switch and redeem, from time to time, units of Mutual Funds on behalf of the Applicant(s) and also to provide Investment Services such as sending investment statements/updates, etc., in respect of the units so purchased, as maybe instructed by the Applicant(s), from time to time, on the terms and conditions mentioned herein. Such services are hereinafter referred to as “the Services”.

2. Communication of Instructions

- (a) In case Investment Overview Account is operable by any one or survivor, all Applicants authorise the Bank to accept, switch and redemption instructions from any one of the Applicants of the Investment Overview Account.
- (b) In case the Investment Overview Account is operable only jointly, all instructions for purchases, switches and redemption should be given by the Applicants jointly.
- (c) The Bank may, at its discretion, not carry out my/our instructions of the Applicant(s), where the Bank has reason to believe (which decision of the Bank the Applicant(s) shall not question or dispute) that the instructions are not genuine or are otherwise improper or unclear or raise a doubt.
- (d) The Bank shall not be liable if any instructions are not carried out for any reason whatsoever.
- (e) The Bank shall not be obliged to accept from the Applicant(s) any oral or facsimile instructions. In order to, however, induce the Bank to act upon communications and instructions transmitted by manual procedures (Facsimile, Mail, Messenger, Telephone in case of Phone Banking only) the Applicant(s) hereby irrevocably agree(s) that, absent the Bank's gross negligence, bad faith or willful misconduct, as long as the Bank acts in compliance with such authorisation/instruction, the Bank shall be indemnified for and held free and harmless from and against any and all responsibility for, any and all costs, claims, losses or liabilities of any nature (direct or indirect) resulting from any act of omission (or any delay) on the basis of instructions to the Bank to purchase, sell or otherwise dispose of commodities or securities, together with any and all attendant costs and expenses including the Bank's reasonable legal fees and expenses, collectively referred to as “losses”.
- (f) Nothing contained herein shall require the Bank to violate any applicable laws, rules or procedures/instructions.
- (g) The Bank shall have no further duty to verify the content of any instruction or communication or the identity of the sender or confirmer thereof, if any, and the Applicant(s) expressly agree(s) to be bound by any instructions and communications, whether or not authorised, sent in its name and accepted by the Bank.

3. Liability in case of more than one Applicant, all the liabilities and obligations of the Applicants to the Bank shall be joint and several.

4. Third Party Risk

- (a) The Bank shall not be liable for any loss or damage caused by reason of failure or delay by the mutual fund to deliver any units purchased even though payment may have been made for the same or failure or delay in making payment in respect of any units sold though they may have been delivered and the Applicant(s) shall save the Bank harmless and free from any claim in respect thereof.
- (b) The Bank shall also not be liable for any delay, failure or refusal of the mutual fund/any company/corporation or other body in registering or transferring units to the name(s) of the Applicant(s) or for any interest, dividend or other loss caused to the Applicant(s) arising therefrom.

5. Liability of the Bank

- (a) Without prejudice to what is stated above, the Bank shall not be held liable for or by reason of any loss or damage or failure to comply or delay in complying with its obligations under these terms and conditions which is caused directly or indirectly by any event or circumstances beyond the Bank's reasonable control.
- (b) The Bank shall not be liable for and the Applicant(s) shall indemnify the Bank for any losses, damages, expenses, costs, liabilities and claims of whatsoever nature caused by fraudulent or unauthorised use of the signature(s) of the Applicant(s).
- (c) The Bank will not be liable for any error or inaccuracies in any of the publicly available information that may be provided to the Applicant(s) by the Bank. The Bank shall be under no duty to verify compliance with any restrictions on the investment powers of the Applicant(s).
- (d) The Bank will not be liable for any loss, damage, cost, charges or expenses directly or indirectly caused by reason of any defects or imperfection or mechanical or other failure with relation to computer, cable, telex, telephone, or postal system.
- (e) The bank may, from time to time, vary, in writing, the terms and conditions of the Investment Overview Account.

6. Powers and Authorisations in favour of the Bank

The Bank shall, without any obligation in that behalf on the part of the Bank, have, and the Applicant(s) hereby (jointly and severally) authorise(s) the Bank, acting through any of its authorised officers, to exercise, at the risk and cost of Applicant(s), the following powers on behalf of the Applicant(s) for the purpose of the Services at such time and in such manner as it may in its discretion think fit for the purpose of performing its powers, duties and responsibilities set out hereunder:

- (a) To redeem/sell the Units/Securities held by the Applicant(s) (whether solely or jointly with another or others) based on instructions of the Applicant(s).
- (b) To collect from the Applicant(s) and deposit Cheques in favour of the Fund House for purchase of units.
- (c) To collect from the Fund House/AMC and send to the Applicant(s), Cheques in favour of the Applicant(s) on redemption of units.
- (d) To correspond with, instruct or give notice to the Fund House or corresponding Asset Management Company on behalf of the Applicant(s) with regard to any matter inter alia including nomination/change in investment plans or any other changes that may be necessitated.
- (e) To do or omit to do all such acts and things as the Bank may in its sole discretion consider to be necessary or desirable in order to perform its duties or exercise of powers set out in these terms and conditions or with a view to comply with any laws, orders, rules, regulations or directions of any government or regulatory or other authorities.

7. Role of the Bank

- (a) The Applicant(s) expressly agree(s) and acknowledge(s) that the Bank is only an agent and not an advisor in offering this Service and any information contained in the Bank's brochure or other material or otherwise communicated by the Bank, shall not be construed by the Applicant(s) as investment advice and that all decisions to purchase or sell Units/Securities made by the Applicant(s) shall be on the basis of the own personal judgement of the Applicant(s) arrived at after due consideration and, if so deemed fit by the Applicant(s), pursuant to the Applicant(s) consulting his/her/their own external investment consultant.
- (b) The Applicant(s) understand(s) and agree(s) that the Bank does not in any manner
 - (i) provide any buy/sell or other recommendations; or
 - (ii) guarantee payment on any units; or
 - (iii) guarantee the liquidity of any units; or
 - (iv) make any offer to buy-back any units; or
 - (v) guarantee the redemption or repayment of any units on maturity; or
 - (vi) guarantee the payment of interest or dividend; or
 - (vii) promise, indicate or guarantee any returns.
- (c) If, notwithstanding, anything stated herein, the Bank or any employee of the Bank gives any advice or makes any representation to the Applicant(s), the Bank shall have no liability for any such advice or representation made as it will be the responsibility of the Applicant(s) to make an independent assessment.
- (d) The Applicant(s) understand(s) that any sum invested through Deutsche Bank is not a deposit with Deutsche Bank. The same is not endorsed or guaranteed and does not constitute obligations of Deutsche Bank India, Deutsche Bank Germany or any of their subsidiary associated or affiliated companies. The role of the Bank is only as described in these terms and conditions.
- (e) The securities purchased are subject to investment risks, including the possible loss of principal amount invested. The value of the units/securities of the Applicant(s) paid, depend upon the market value of the securities in the fund or trust at the time of redemption. Past results are not a guarantee to future performance. Yield or performance fluctuates and reflects past results. Yield or a fund's past performance should not be considered as an indication or guarantee of future yield or results.
- (f) The Bank shall be under no duty to assess the prudence or otherwise of any instructions given by the Applicant(s) or to give any advice in relation thereto and would be justified in acting on the instructions of the Applicant(s), irrespective of their prudence or otherwise.

8. Correspondence

- (a) Any notice or other correspondence addressed by the Bank to the Applicant(s) maybe addressed to the first Applicant holder above at the address given by the Applicant(s) above herein or to such other address as the Bank may be aware.
- (b) If, according to the Applicant(s), there is any discrepancy in the particulars or details of any transaction or account or statement, then, the Applicant(s) shall be obliged to intimate the same to the Bank in writing within 10 days of the Sole/First Applicant receiving notice thereof, failing which such transaction, statement or account (as the case maybe) shall be deemed to be correct and accepted by the Applicant(s) and the Applicant(s), shall not be entitled to question the correctness or accuracy thereof.
- (c) The Bank reserves the right to communicate with and send statements and other particulars to the first Applicant by e-mail/facsimile at the e-mail address/ facsimile number that may be communicated by the first Applicant to the Bank. The Bank shall, however, not be bound to communicate over facsimile or e-mail.

9. Miscellaneous

- (a) Any utilisation of the Services would clearly imply that the Applicant(s) has/have read, understood and agreed to abide and be bound by these terms and conditions, which express the complete, exclusive, and final understanding of the parties with regard to the Services and may not be altered, amended or modified except in writing by the Bank.

- (b) These terms and conditions will bind not only the Applicant(s) but also his/her/their respective successors and legal representatives in respect of the Services availed of from time to time by the Applicant(s).
- (c) The terms and conditions that by their nature and content are intended to survive even after termination of the Services hereunder, shall so survive the completion and termination of the Services.
- (d) Failure by the Bank at anytime to enforce any obligation of the Applicant(s) or to claim a breach of any term or condition or to exercise any power agreed to hereunder or under any other document executed by the Applicant(s) in favour of the Bank will not be construed as a waiver of any right, under these terms and conditions and it will not affect any subsequent breach and will not prejudice the Bank as regards any subsequent action. power, or obligation.
- (e) If any term or condition herein should be declared invalid by a court of competent jurisdiction, the remaining terms and conditions herein shall remain unimpaired and in full force and effect.
- (f) The rights of the Applicant(s) hereunder may not be assigned, in whole or in part, by the Applicant(s).

10. Termination

- (a) The Investment Overview Account shall continue until terminated by either party.
- (b) Either party shall be entitled to terminate this Contract forthwith by giving notice in writing in that behalf to the other party, provided that the Applicant(s) shall not be relieved of his/her/their obligations hereunder, notwithstanding such termination, incurred prior to the date on which such termination shall become effective.
- (c) Upon termination, the money and Units/Securities with the Bank under the Investment Overview Account may be paid or delivered (as the case may be) by the Bank, after deduction of the Bank's dues, to the Sole/First Applicant above and the same shall be a valid and full discharge to the Bank.

11. Governing Law and Jurisdiction

- (a) All disputes and differences arising out of, under or in connection with the Investment Overview Account or anything done in connection therewith, shall be within the exclusive jurisdiction of the courts only of the city in which the branch of the Bank at which the Investment Overview Account is opened and maintained.
- (b) Further, these terms and conditions and the opening and maintenance of the Investment Overview Account are subject to and shall be construed in accordance with the laws prevalent in India.

*Declaration

I/We have read and understood Bank's General Business Conditions (a copy of which has been sent to me/us in my/our possession) governing the business relationship with the Bank and those special conditions relating to various services including but not limited to Accounts, ATM, Internet Banking, Mobile Banking, Phone Banking, Debit Card, Bill Payment, etc. I/We accept and agree to be bound by the said Bank's General Business Conditions including those excluding/limiting the Bank liability. I/We agree, understand and acknowledge the Bank may at its absolute discretion, reject, discontinue or terminate any of the services or transaction, completely, or partially with notice personal or public to me/us, for any reason whatsoever including any violation of applicable laws or internal policies of the Bank, I/We agree that Bank may debit my account for service charges as applicable from time to time. I/We confirm that I/We am/are resident of India. I/We hereby irrevocably authorize the Bank to monitor my/our accounts(s) and disclose, from time to time, any information on or relating to my/our accounts(s) with the Bank to any other branch of the bank and any of its subsidiaries or affiliates or Regulators or to any Authority or Credit Bureaus or third part without my/our specific consent. The Bank's General Business Conditions, the receipt and acceptance of which I/We herewith confirm, and all other rules and conditions of the Bank including any amendments thereto as notified by the Bank shall apply to each of the accounts and all documentation in relation thereto." I/We are aware that Deutsche Bank reserves the right to either close my payroll account or convert my payroll account as a normal savings account with average quarterly balance requirement & applicable schedule of charge if salary credits / other credits are not received in the said account for the period of 3 months and above under intimation to me. "At present, I/We do not wish to include my/our contact details with Do Not Call Registry and I/We take note to update my/our contact details with the registry whenever I/We wish to.

Information pursuant to Anti-Money Laundering Regulations

[] I/We am/are the beneficial owner of all assets run through my/our own account(s) opened with Deutsche Bank

[] The beneficial owner of some/all assets run through the account(s) is/are (name and address of person for whom the account(s) are maintained)

The Branch of the Bank in _____ (State/Country) where my/our account(s) is/are kept (the "Accountable Branch") is the sole branch of account for repayment of any credit balance in the account(s) and any interest accruing thereon which will only be made at the Accountable Branch and in the currency in which the credit balance is denominated. Accordingly, the Bank shall not be required to repay any such credit balance or interest at its head office or any branch other than the Accountable Branch for so long as and to the extent that the Accountable Branch cannot repay the balance or interest due to (a) an act of war, insurrection or civil strife; or (b) an action by the government or any instrumentality of or in _____ (State/Country) (whether de jure or de facto) preventing such repayment. The competent court within whose jurisdiction the Accountable Branch is situated shall have exclusive jurisdiction in respect of any claims against the Bank. However, this will not affect the Bank's general lien and right of set-off over all my/our accounts at all branches of the Bank and for this purpose the Bank shall be entitled to combine and consolidate all or any of such accounts.

I/We understand that the Bank can seek my/our latest information and collect the required KYC documents on periodical basis in compliance with applicable regulatory guidelines.

I/We will update the Bank in case of any change in my/related party/Beneficial Owner details provided at the time of opening the account which includes address change, change in industry, change in employment etc.

Foreign Exchange Management Act, 1999 (FEMA)

I/We hereby declare that the transactions relating to foreign exchange routed through your Bank do not involve, and are not designed for the purpose of any contravention or evasion of the provisions of the aforesaid Act or of any rule, regulation, direction, or order made hereunder. I/We also hereby agree and undertake to give such information/documents as will reasonably satisfy you about the transactions in terms of the above declaration.

*Customer Signature (Sign within the box and use black ink for signature)

I/We confirm that all the details provided on the above form are correct

Name: _____

Date: _____

Name: _____

Date: _____

Name: _____

Date: _____

For Bank Use Only

Debit Card Label Code 1st Applicant 2nd Applicant 3rd Applicant Promo Code

Sol ID Scheme Code Lead Generated by Code

Customer Sourced by Code A/c Manager Code Signature _____

Branch/ Service Manager _____ Signature _____ Employee ID Date
D D M M Y Y

Imaging QC Done _____ Signature _____