

ANNEXURE- C

Format of Complaints to be displayed by Depository participants on their websites

A. Data for the Month ending – <u>August 2022</u>

S.No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7	8	9
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	1	1	0	2	0	0	2.5
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	2	0	2	0	0	0

B. Trend of Monthly disposal of complaints for the Financial Year-

SN	Month	Carried	forward	Received	Resolved	Pending**
		from	previous		*	
		month				
1	April 2022		0	1	1	0
2	May 2022		0	1	1	0
3	June 2022 0		0	0	0	
4	July 2022		0	2	2	0
5	August 2022		1	1	2	0
6	September 2022					
7	October 2022					
8	November 2022					
9	December 2022					
10	January 2023					
11	February 2023					
12	March 2023					
	Grand Total					

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C. Trend of Annual (FY) disposal of complaints (For 3 years on rolling basis)-

SNo	Year	Carried forward	Received	Resolved	Pending at the
		from previous year	during the year	during the year	end of the year
1	2017-18	0	3	3	0
2	2018-19	0	0	0	0
3	2019-20	0	2	2	0
4	2020-21	0	1	1	0
5	2021-22	0	6	6	0
6	2022-23	0	6	6	0
	Grand Total	0	18	18	0

^{*} Inclusive of complaints of previous months resolved in the current month, if any.

^{**} Should include total complaints pending as on last day of the month, if any.

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.