

Customer Declaration / Consent Form (Portfolio Management Services)

Customer ID: _____

Amount of Investment: _____

Date (DD / MM / YYYY): ___ / ___ / _____

Type: Purchase / Additional Purchase / Switch [Please tick]

To,
Deutsche Bank AG, _____ Branch

Dear Sir / Madam,

Scheme: _____

Portfolio Manager / Fund House: _____

Referrer: Deutsche Bank AG India

The undersigned ("Applicant") confirms that the Applicant is interested in investing in captioned Scheme and accordingly requests and authorizes Deutsche Bank AG India ("Bank") to provide the name and all other relevant details of Applicant to the Portfolio Manager.

Product Risk Rating [^]		
Please Tick	Product Risk Class	Classification Criteria
<input type="checkbox"/>	1	Very low risk / volatility, High liquidity
<input type="checkbox"/>	2	Low risk / volatility, High liquidity
<input type="checkbox"/>	3	Low to medium risk / volatility, Medium liquidity
<input type="checkbox"/>	4	Medium to high risk / volatility, Low liquidity
<input type="checkbox"/>	5	High risk / volatility, Very low liquidity, Complex products

[^]Risk increases as the rating increases from 1 to 5

Client Risk Profile		
Please Tick	Risk Profiles	Product Categories
<input type="checkbox"/>	Very Conservative	No investment in Risk class 4 / 5 Products
<input type="checkbox"/>	Conservative	< ~30% portfolio in Risk class 4 / 5 Products
<input type="checkbox"/>	Moderate	< ~50% portfolio in Risk class 4 / 5 Products
<input type="checkbox"/>	Aggressive	< ~70% portfolio in Risk class 4 / 5 Products
<input type="checkbox"/>	Very Aggressive	~90% portfolio in Risk class 4 / 5 Products

The Applicant understands and acknowledges that the Bank will earn a Referral fee equivalent to _____% of amount invested as trail fee, from the Portfolio Manager. This fee arrangement may change from time to time, due to (but not restricted to) the applicable taxes / surcharge rates / rules. The detailed schedule of commission/fee earned by the Bank from various portfolio managers is available on the Banks website www.deutschebank.co.in, which the Applicant confirms having read and understood before investing the captioned Scheme.

It is to be noted that this product might not be appropriate as per the recommended asset allocation for the customers categorized as 'Conservative' or 'Very Conservative' in the risk profiler. If such profiled customer chooses to invest in this investment product, will be deemed as an investment solely on the customer's free will. Also, the volition being cognizant of his risk- appetite and that the investment is not aligned with recommended asset allocation and is fully aware of the risk involved in the product.

Applicant(s) Signature: _____
(First Applicant)
(Second Applicant)
(Third Applicant)

Further, the Applicant expressly understands and acknowledges the following:

- (i) The role of the Bank that of a referrer which includes providing the Applicant's details to the Portfolio Manager, for Portfolio Manager and the Applicant to independently engage with each other as per the terms of the agreement between the Portfolio Manager and the Applicant. Any information or material, pertaining to the Portfolio Manager or the Scheme contained in the Bank's brochure, website or other material or otherwise communicated by the Bank, shall not be construed as investment advice or a recommendation and all decisions to subscribe or invest in a Scheme shall be taken by the Applicant on the basis of his/her/their own personal judgement arrived at after due consideration of Applicants circumstances and risk appetite and pursuant to the Applicant consulting his / her / their external investment and tax consultant.
- (ii) The Bank does not provide portfolio management services and has no involvement in the management of the Scheme in any manner and the same is rendered and managed by the Portfolio Manager in accordance with applicable laws, portfolio management services agreement and the disclosure document of the Portfolio Manager. Any orders, service requests or grievances pertaining to the Portfolio Management Services and/or the Scheme shall be made to or raised directly with the Portfolio Manager, without any reference or recourse to the Bank. The Bank shall not be held liable or responsible for any acts or omissions of the Portfolio Manager.
- (iii) The Applicant understands and acknowledges that investment in Portfolio Management Schemes is meant for sophisticated investors and is subject to several risks including, lack of liquidity, loss of returns and yields and loss of whole or part of the principal amounts invested. The Applicant confirms having read and understood the detailed description of risks highlighted in the disclosure document and other relevant marketing material prepared or otherwise approved by the Portfolio Manager. The Bank does not and is not under an obligation to assess and advise on the prudence and suitability of investing in the Schemes of the Portfolio Manager. Further, the Bank shall not be held responsible or liable in any manner for any misrepresentations or omissions in the Disclosure documents or any other marketing material of the Portfolio Manager and any complaints, grievances should be taken up directly with the Portfolio Manager.
- (iv) The Bank may provide the application form of the Portfolio Manager to the Applicant for the Applicant to fill it up independently and pass it back to the Portfolio Manager. The Bank may also provide a consolidated statement of Applicant's investments. However, such assistance is merely for Applicant's convenience and is administrative in nature and is provided on a best effort and no liability basis.
- (v) Any sum invested through the Bank is not a deposit with the Bank. The same is not endorsed or guaranteed and does not constitute obligations of the Bank, Deutsche Bank Germany or any of their subsidiaries, associated or affiliated companies.

Thanking you.

Applicant(s) Signature: _____
(First Applicant) (Second Applicant) (Third Applicant)

Applicant(s) Name: _____

Address: _____

For Internal use only:

WMS Order ID: _____ LMS Entry Confirmation: _____