

Customer Declaration / Consent Form (AIF / VCF / PE)

Customer ID: _____

Commitment Amount: _____

Date (DD / MM / YYYY): ___ / ___ / _____

Initial Purchase Amount: _____

To,
Deutsche Bank AG, _____ Branch

Dear Sir / Madam,

Scheme: _____

Portfolio Manager / Fund House: _____

Referrer: Deutsche Bank AG India

The undersigned ("Applicant") confirms that the Applicant is interested in investing in captioned Fund and accordingly requests and authorizes Deutsche Bank AG India ("Bank") to refer all the relevant details of Applicant to the Issuer.

Product Risk Rating^		
Please Tick	Product Risk Class	Classification Criteria
<input type="checkbox"/>	1	Very low risk / volatility, High liquidity
<input type="checkbox"/>	2	Low risk / volatility, High liquidity
<input type="checkbox"/>	3	Low to medium risk / volatility, Medium liquidity
<input type="checkbox"/>	4	Medium to high risk / volatility, Low liquidity
<input type="checkbox"/>	5	High risk / volatility, Very low liquidity, Complex products

^Risk increases as the rating increases from 1 to 5

Client Risk Profile		
Please Tick	Risk Profiles	Product Categories
<input type="checkbox"/>	Very Conservative	No investment in Risk class 4 / 5 Products
<input type="checkbox"/>	Conservative	< ~30% portfolio in Risk class 4 / 5 Products
<input type="checkbox"/>	Moderate	< ~50% portfolio in Risk class 4 / 5 Products
<input type="checkbox"/>	Aggressive	< ~70% portfolio in Risk class 4 / 5 Products
<input type="checkbox"/>	Very Aggressive	~90% portfolio in Risk class 4 / 5 Products

The Applicant understands and acknowledges that the Bank will earn a Referral fee equivalent upto _____% of amount invested as upfront fees plus _____% of amount invested as trail fee, from the Issuer. The Applicant also confirms receipt of a schedule containing details of commissions earned from various Issuers (if similar products are available currently from the Bank) and has read and understood the same before investing in the captioned Scheme.

It is to be noted that this product is not appropriate as per the recommended asset allocation for the customers categorized as 'Conservative' or 'Very Conservative' in the risk profiler. If such profiled customer chooses to invest in this investment product, will be deemed as an investment solely on the customer's free will. Also, the volition being cognizant of his risk- appetite and that the investment is not aligned with recommended asset allocation and is fully aware of the risk involved in the product.

The Applicant further confirms that he/she has invested not more than _____% of his/her net worth in this scheme and not more than _____% of net worth in this asset class.

Applicant(s) Signature: _____
(First Applicant)

(Second Applicant)

(Third Applicant)

Further, the Applicant expressly understands and acknowledges the following:

- (i) The role of the Bank is limited to referring the Applicant's details to the Issuer, for Issuer and the Applicant to independently engage with each other as per the terms of the agreement between the Issuer and the Applicant.
- (ii) The Bank has no involvement in the management of the Fund. Any orders, service requests or grievances pertaining to investments in the Fund shall be made to or raised directly with the Issuer, without any reference or recourse to the Bank. The Bank shall not be held liable or responsible for any acts or omissions on the part of the Issuer.
- (iii) Any information, pertaining to the Issuer or the Fund contained in the Bank's brochure, website or other material or otherwise communicated by the Bank, shall not be construed as investment advice or a recommendation and all decisions to subscribe or invest in a Fund shall be taken by the Applicant on the basis of his / her / their own personal judgment arrived at after due consideration of Applicants circumstances and risk appetite and pursuant to the Applicant consulting his/her/their external investment and tax consultant.
- (iv) The Applicant understands and acknowledges that investment in Funds is meant for sophisticated investors and is subject to several risks including, lack of liquidity, loss of returns and yields and loss of whole or part of the principal amounts invested. The Applicant confirms having read and understood the detailed description of risks highlighted in the offer document or private placement memorandum or information memorandum or offer document, as applicable and other relevant marketing material prepared or otherwise approved by the Issuer. The Bank does not and is not under an obligation to assess and advise on the prudence and suitability of investing in the Funds of the Issuer.
- (v) The Bank may provide the application form of the Issuer to the Applicant for the Applicant to fill it up independently and pass it back to the Issuer. However, such assistance is provided on a best effort and no liability basis. The Issuer, in its sole discretion, may accept or reject such application. Further, the Bank shall not be held responsible or liable in any manner for any omissions or misrepresentations in the offer document or marketing material of the Issuer.
- (vi) Any sum invested through the Bank is not a deposit with the Bank. The same is not endorsed or guaranteed and does not constitute obligations of the Bank, Deutsche Bank Germany or any of their subsidiaries, associated or affiliated companies.

Thanking you.

Applicant(s) Signature: _____
(First Applicant) (Second Applicant) (Third Applicant)

Applicant(s) Name: _____

Address: _____

For Internal Use:

WMS Order ID: _____ LMS Entry Confirmation: _____