

Sl. No. IND: CI

Deutsche Bank AG,
Depository Services, DB House, Hazarimal Somani Marg, Fort, Mumbai 400 001.

Deutsche Bank



DEPOSITORY SERVICES ACCOUNT OPENING - INDIVIDUALS CENTRAL DEPOSITORY SERVICES (INDIA) LTD.

Lead Generated By (Code)

Branch Code

Lead Converted By (Code)

Branch Name

Internal Ref. No.

Demat Account No.

To be filled in by DP

DP ID: 16013900

ONLINE TRADING

Mandatory

Depository Account Opening Documents (AOD) - Checklist (Investor)

Date
D D M M Y Y Y Y

Name of the Investor
First name Middle name Last name

Types of Account

Individual NRI Foreign National

Names of the Document	Submitted		Exceptions, if any
	Yes	No	
Account Opening Form duly signed by all holders	<input type="checkbox"/>	<input type="checkbox"/>	
Proof of Identity	<input type="checkbox"/>	<input type="checkbox"/>	
Proof of Address	<input type="checkbox"/>	<input type="checkbox"/>	
Signed Photographs	<input type="checkbox"/>	<input type="checkbox"/>	
Current/Savings A/c (Dividend Purpose)	<input type="checkbox"/>	<input type="checkbox"/>	
Debit authorisation signed by the SB Account Holder	<input type="checkbox"/>	<input type="checkbox"/>	
Depository Agreement *duly signed by all holders	<input type="checkbox"/>	<input type="checkbox"/>	
Tariff Sheet duly signed by all holders	<input type="checkbox"/>	<input type="checkbox"/>	
Power of Attorney (If any)	<input type="checkbox"/>	<input type="checkbox"/>	

*Depository Agreement on non-judicial Stamp Paper. (As per the place of execution of Agreement.)

NOTE: ANY CORRECTION/ALTERATION IN THE FORM REQUIRES SIGNATURE OF ALL HOLDERS.

- For Documents to be submitted, see options as given overleaf.
- Attach all ID Proofs, Address Proofs and relevant documents on last page only.

Notes

- All communications shall be sent at the address of the **Sole/First Holder** only.
- In case of additional signatures, separate annexures should be attached to the application form.
- Thumb impressions and signatures other than in English or Hindi or any of the other languages not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or Notary Public or a Special Executive Magistrate.
- Strike off whichever is not applicable.
- Any Correction/Alteration/Cancellation requires holders authentication.
- In case address in the introduction documents is different from the address as given in Demat Account opening form, please attach a copy, of the Telephone Bill, Electricity Bill (not later 2 months' old)/Leave Licence Agreement confirming your address.
- In case Correspondence Address is differing from Local/Permanent Address, proof is required to be submitted.
- Photograph of the holders should be in original.
- A copy of any of the following maybe accepted as proof of identity/proof of address:

Proof of Identity and Proof of Correspondence is compulsory for all holders in the Demat Account

Introduction Documents furnished for Proof of Identity and Correspondence Address.

Proof of Identity (Any one)	Proof of Correspondence Address (Any one)
Passport	Passport
Voter ID Card	Ration Card
Driving Licence	Voter ID Card
Pan Card	Driving Licence
MAPIN Card	Bank Passbook
Photo Credit Cards/Photo Debit Cards issued by Banks	Verified documents of a) Electricity Bill (not more than 2 month's old) b) Residence Telephone bill (not more than 2 months' old) c) Leave and Licence Agreement/Agreement for sale
Identity Card/Document with Applicant's Photo issued by	Identity Card/Document with Applicant's Photo, issued by
a) Central/State Government and its Departments	a) Central/State Government and its Departments
b) Statutory/Regulatory Authorities	b) Statutory/Regulatory Authorities
c) Public Sector Undertakings	c) Public Sector Undertakings
d) Scheduled Commercial Banks	d) Scheduled Commercial Banks
e) Public Financial Institutions	e) Public Financial Institutions
f) Colleges affiliated to universities (this can be treated as valid only till the time the applicant is a student)	f) Colleges affiliated to universities (this can be treated as valid only till the time the applicant is a student)
g) Professional bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members	g) Professional bodies such as ICAI, ICWAI, ICSI, Bar Council etc, to their Members
	Self-declaration by High Court & Supreme Court Judges, giving the new address in respect of their own accounts.

For Office Use only - Exceptions/Rejection Found

Rejection Ref. No.

Date
D D M M Y Y Y Y

1. Application form

- Name of holder/s (1st, 2nd, 3rd) not readable
- Address not readable
- PIN code number required
- Guardian sign. required
- Photograph required
- Correction/Cancellation require holder's initials

3. Bank Details (Dividend purpose)

- SB/Current A/c No. required
- Name and address of the Bank required
- Name and address of the Bank not clear
- NRI dividend bank details can't be used for Ordinary Demat A/c

5. Rights and Obligations of Beneficial owner and Depository

- Correction/Cancellation requires holder/s initial
- Signature of holder/s required

7. Power of Attorney

- PoA holder signature reqd. on PoA copy
- Attestation of PoA copy reqd.

8. Others (Specify)

- _____
- _____

RECTIFY/CLEAR POINT NO. _____

NOTE : ANY CORRECTION/ALTERATION/CANCELLATION REQUIRES HOLDER'S AUTHENTICATION.

2. Introduction Documents

- Proof of Identity required
- Proof of Address required
- Attestation of documents required
- Mismatch in existing Demat A/c holders signature

4. Debit Authorisation Sheet

- Wrong SB/Current Account No.
- SB/Current A/c. closed
- SB/Current account holders sign. required
- Signature Mismatch

6. Tariff Sheet

- Signature of holder/s required
- Tariff sheet required
- Old tariff sheet attached



Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in supporting identification document).

Name

Father's/Spouse Name

2. Gender Male Female B. Marital status Single Married C. Date of Birth

 /

 /

3. Nationality Indian Other _____

4. Status Please tick (✓) Resident Individual Non Resident Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN

 Please enclose a duly attested copy of your PAN Card

Aadhaar Number, if any: _____

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓)

UID (Aadhaar) Passport Voter ID Driving Licence Others _____ (Please see guideline 'D' overleaf)

PHOTOGRAPH

Please affix
 the recent passport
 size photograph and
 sign across it

B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

City / Town / Village			Pin Code
State		Country	

2. Contact Details

Tel. (Off.)	(ISD)	(STD)	Tel. (Res.)	(ISD)	(STD)
Mobile	(ISD)	(STD)	Fax	(ISD)	(STD)
E-Mail Id.					

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please specify)

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

 /

 /

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

City / Town / Village			Pin Code
State		Country	

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please specify)

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

 /

 /

6. Any other information: _____

DECLARATION

SIGNATURE OF APPLICANT

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place: _____

Date: _____

FOR OFFICE USE ONLY

IPV Done on

 /

 /

AMC/Intermediary name OR code _____

(Originals Verified) Self Certified Document copies received

(Attested) True copies of documents received

Main Intermediary

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature

Date

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature

Date



Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in supporting identification document).

Name

Father's/Spouse Name

2. Gender Male Female B. Marital status Single Married C. Date of Birth

 /

 /

3. Nationality Indian Other _____

4. Status Please tick (✓) Resident Individual Non Resident Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

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 Please enclose a duly attested copy of your PAN Card

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UID (Aadhaar) Passport Voter ID Driving Licence Others _____ (Please see guideline 'D' overleaf)

PHOTOGRAPH

Please affix
 the recent passport
 size photograph and
 sign across it

B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

City / Town / Village			Pin Code
State		Country	

2. Contact Details

Tel. (Off.) (ISD) (STD)	Tel. (Res.) (ISD) (STD)
Mobile (ISD) (STD)	Fax (ISD) (STD)
E-Mail Id.	

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
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*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

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City / Town / Village			Pin Code
State		Country	

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please specify)

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

 /

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6. Any other information: _____

DECLARATION

SIGNATURE OF APPLICANT

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place: _____

Date: _____

FOR OFFICE USE ONLY

IPV Done on

 /

 /

AMC/Intermediary name OR code

(Originals Verified) Self Certified Document copies received

(Attested) True copies of documents received

Main Intermediary

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature

Date

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature

Date



Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in supporting identification document).

Name

Father's/Spouse Name

2. Gender Male Female B. Marital status Single Married C. Date of Birth

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3. Nationality Indian Other _____

4. Status Please tick (✓) Resident Individual Non Resident Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN

 Please enclose a duly attested copy of your PAN Card

Aadhaar Number, if any: _____

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓)

UID (Aadhaar) Passport Voter ID Driving Licence Others _____ (Please see guideline 'D' overleaf)

PHOTOGRAPH

Please affix
the recent passport
size photograph and
sign across it

B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

City / Town / Village			Pin Code
State		Country	

2. Contact Details

Tel. (Off.) (ISD) (STD)	Tel. (Res.) (ISD) (STD)
Mobile (ISD) (STD)	Fax (ISD) (STD)
E-Mail Id.	

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
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4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

City / Town / Village			Pin Code
State		Country	

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 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please specify)

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6. Any other information: _____

DECLARATION

SIGNATURE OF APPLICANT

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place: _____

Date: _____

Signature

FOR OFFICE USE ONLY

IPV Done on

 /

 /

AMC/Intermediary name OR code _____

(Originals Verified) Self Certified Document copies received

(Attested) True copies of documents received

Main Intermediary _____

Seal/Stamp of the intermediary should contain Staff Name Designation Name of the Organization Signature Date

Seal/Stamp of the intermediary should contain Staff Name Designation Name of the Organization Signature Date

Additional KYC Form for Opening a Demat Account

Deutsche Bank AG, Depository Services, DB House, Hazarimal Somani Mart, Mumbai 400 001.

(To be filled by the Depository Participant)

Application No.		Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.										
DP ID	1	6	0	1	3	9	0	0	Client ID	

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details:-

Holders Details

Sole / First Holder's Name	PAN									
	UID									
Second Holder's Name	PAN									
	UID									
Third Holder's Name	PAN									
	UID									

Naem*

*In case of Firms, Association of Persons (AOP), Partnership Firm Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub – Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify) _____

Details of Guardian (in case the account holder is minor)

Guardian's Name		PAN	
Relationship with the applicant			
I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')		[Automatic Credit]	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my / our account without any other further instruction from my/our end (If you marked, the default option would be 'No')			<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly		
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____			<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA			<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic		

I / We wish to receive dividend / interest directly in to **my** bank account as given below through ECS (If not marked, the default option would be 'Yes')
[ECS is mandatory for locations notified by SEBI from time to time]

 Yes No**Bank Details [Dividend Bank Details]**

Bank Code (9 digit MICR code)									
IFC Code (11 character)									
Account number									
Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____								
Bank Name									
Branch Name									
Bank Branch Address									
City		State		Country		PIN Code			

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
 - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

Other Details Gross Annual Income Details	Income Range per annum:																	
	<input type="checkbox"/> Up to Rs. 1,00,000			<input type="checkbox"/> Rs. 1,00,000 to Rs. 5,00,000			<input type="checkbox"/> Rs. 5,00,000 to Rs. 10,00,000											
	<input type="checkbox"/> Rs. 10,00,000 to Rs. 25,00,000			<input type="checkbox"/> More than Rs. 25,00,000														
	Net worth as on (Date) <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> Rs.										D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y											
	<i>[Net worth should not be older than 1 year]</i>																	
Occupation	<input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) _____																	
Please tick, if applicable:	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)																	
Any other information:																		

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 _____ [(Mandatory, if you are giving Power of Attorney (POA)) (if POA is not granted & you do not wish to avail of this facility, cancel this option).													
	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.													
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td style="width: 40px; height: 20px;"></td><td>Yes</td></tr> <tr><td style="width: 40px; height: 20px;"></td><td>No</td></tr> </table>											Yes		No
		Yes												
		No												
I wish to register the following clearing member Ids under my/our below mentioned BO ID registered for TRUST														
	<u>Stock Exchange Name/ID</u>			<u>Clearing Member Name</u>			<u>Clearing Member ID (Optional)</u>							
<i>Easi</i>	To register for <i>easi</i> , please visit our website www.cdslindia.com <i>Easi</i> allows a BO to view his ISIN balances, transactions and value of the portfolio online.													

Nomination Details

Nomination Registration No.	Dated

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

I/We do not wish to nominate any one for this demat account.

I/We **nominate** the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of my / our death.

Full Name of the Nominee																		
Address																		
City						State												
Country						PIN code												
Telephone No.						Fax No.												
PAN						UID												
E-mail ID																		
Relationship with BO (If any)																		
Date of birth (mandatory if nominee is minor) <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>											D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y											

As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders, I/We appoint following person to act as **Guardian**:

Full Name of the Nominee							
Address							
City		State					
Country		PIN					
Telephone No.		Fax No.					
PAN							
E-mail ID							
Relationship of Guardian with Nominee							

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.
 Note: Two witness shall attest signatures(s) / thumb impression(s)

Details of the Witness	First Witness	Second Witness
Name of witness		
Address of witness		
Signature of witness		

I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signatures			

(Signature should be preferably in black ink).

===== (Please Tear Here) =====

Application No.:

Acknowledgement Receipt Date:

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder	
Name of Second Holder	
Name of Third Holder	

Depository Participant Seal and Signature

===== (Please Tear Here) =====

Authorisation of Debiting the Current Accounts/Savings Accounts for Bank Charges & Undertaking for the Payment of Interest in case of occurrence of Debit Balances

To,
Deutsche Bank AG,
Depository Services, DB House, Hazarimal Somani Marg, Fort, Mumbai 400 001.

I/We, _____ (A/c holders name)

residing at _____ Maintain a Savings Account/s/Current Account/s ("the Said Accounts), with Deutsche Bank AG., hereinafter called "the Bank" (which expression shall unless it be repugnant to the context or meaning thereof mean and include its successors in title) at its (Name & address of the branch) and the Savings/Current Account No. is

Account No.

Bank Name

Address Line - 1

Address Line - 2

City State PIN Code

Country

Cust. ID.

(Allotted at the time of opening saving A/c)

Corporate Salary A/c Yes No

I/We hereby authorise the Bank to debit all types of bank charges/commission/fees ("Service Charges") payable by me/us to the said Accounts. I/We undertake that sufficient balances shall be maintained by in the said Accounts to facilitate the debiting of Service Charges. The failure on part of me/us to maintain sufficient balance in the said Account shall not anyway impair the right of the Bank to debit the Service Charges. I/We hereby further authorise the Bank to charge any interest on debit balance in the said Accounts due to the debiting of Service Charges.

The Bank shall not be obliged to provide overdraft facility on the said Account but for towards the debiting of Service Charges payable by me/us.

I/We specifically agree and confirm that any matter or issue arising hereunder shall be governed by and construed exclusively in accordance with the Indian laws and shall be subject to the jurisdiction of the courts of Mumbai in India.

SIGNED AND DELIVERED

Signature of SB/Current Account Holder

X _____

X _____

X _____

Name of SB/Current Account Holder

First name Middle name Last name

Signature of Witness X _____

Name of Witness:
First name Middle name Last name

Address

For Office Use only

Signature tallied by

Dated

Initials

Service Charges

Account Opening/Joining Fees	Nil (Stamp Paper Charges, as applicable)
Dematerialization	Rs 2.50/- per Certificate plus Rs 25/- courier charges or minimum Rs 50/-
Account Maintenance	Rs 500/- p.a.
Custody Charges	Nil
Transaction (Buy)	Nil
Transaction (Sell)	0.04% (Min. Rs 25)
Pledge Services*	0.03% of the value of transaction with minimum of Rs 50/- per request
Account Closing	Nil
Rematerialization	Rs 30/- per Certificate
Failed Instruction Charges	Rs 20/- per transaction
Late Instruction Charges#	0.20% of the Value of transaction with minimum of Rs 30/- per instruction
Other Charges, if any	Ad-hoc statement - Rs 100/- per statement.

- All rates are calculated on the market value.
- The above charges are exclusive of Tax, which will be as applicable.
- The above rates are subject to change with 30 days prior intimation.
- Annual Maintenance Charges are levied upfront for a period of one year at the beginning of the period, and shall be refunded for the balance quarters in the event of closing or shifting of the demat account from one participant to another.
- *Pledge service will include individual pledge creation request, closure request, Pledge invocation receipt, Pledge invocation delivery favoring other counter parties only.
- All instructions for transfer must be received at the designated branches of the Bank at least 24 hours before the execution date.
- #All instruction received on the same day as the pay in date or received after 4.00 p.m for the same day execution will be treated as late instructions.
- There will be a charge of INR 100 for dishonor of any cheque or unsuccessful attempt to recover payment through direct debit or ECS. The depository services are liable for discontinuation, if Deutsche Bank is unable to recover charges from the customer for any reason whatsoever. In such cases there will be a charge of INR 350 for resumption of services and the services will be resumed after a minimum of three working days from the date of receipt of request at Central Processing Office, Mumbai
- Any service that is not indicated above will be charged separately as per the rates applicable from time to time
- Reissuance of Booklet charges will be INR 50 per booklet containing 10 leaflets.

(Sole/First Holder)

(Second Holder)

(Third Holder)

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and/or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document. I here by acknowledge the receipt of copy of the document, "Rights and Obligations of Beneficial Owner and Depository Participant".

1) X

2) X

3) X

(for and on behalf of the Client)

X

Witness

Signed and delivered by

X
(for and on behalf of Deutsche Bank AG)

X

Witness

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and/or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

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14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
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19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

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Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document. I here by acknowledge the receipt of copy of the document, "Rights and Obligations of Beneficial Owner and Depository Participant".

1) X

2) X

3) X

(for and on behalf of the Client)

X

Witness

Signed and delivered by

X
(for and on behalf of Deutsche Bank AG)

X

Witness

