



# Investment Overview Form



Serial No.:

Investment Overview Form

(Please fill in with CAPITAL/ BLOCK letters and tick relevant boxes)

Individuals

Details of First Applicant

Do you have db Savings/ Current Account ☐ Yes / ☐ No

If yes, db Savings/ Current Account No.

If not, name of other bank \_\_\_\_\_ with Account No .

Name of Sole/ First Applicant in respect of the Investment Overview Form

Title ☐ Mr. ☐ Ms. ☐ Mrs. ☐ Others (Please specify) \_\_\_\_\_

Name

First name Middle name Last name

Contact Information

Preferred Mailing Address: ☐ Office ☐ Residence

Office Address

Address Line - 1

Address Line - 2

City  State  PIN Code

Country

E-mail \_\_\_\_\_ Phone No. (L)  STD Code  Mobile

Residence Address

Address Line - 1

Address Line - 2

City  State  PIN Code

Country

E-mail \_\_\_\_\_ Phone No. (L)  STD Code  Mobile

Personal Information

Date of Birth          
D D M M Y Y Y Y

Father's Name

Mother's Maiden Name

Name of Guardian (If the applicant is minor)  Relationship to minor

PAN/ GIR No.  ☐ Form 60/61 attached  
If not applicable, please attach for no. 60/61)

Details of joint applicants with respect to Investment Overview Form

Joint Applicant 1

Title ☐ Mr. ☐ Ms. ☐ Mrs. ☐ Others (Please specify) \_\_\_\_\_

Name

First name Middle name Last name

Date of Birth          
D D M M Y Y Y Y

Phone No. Office  Residence

PAN/ GIR No.

[illegible]

Name of Organisation		
Address Line - 1		
Address Line - 2		
City	State	PIN Code
Country		
E-mail	Phone No. (L) STD Code	Mobile
Organisation type: <input type="checkbox"/> Company <input type="checkbox"/> AOP/ BOI <input type="checkbox"/> Body Corporate <input type="checkbox"/> Society <input type="checkbox"/> Trust <input type="checkbox"/> Partnership Firm <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Others		
Incorporation Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
Contact Person (s)		
Address Line-1		
Address Line-2		
City	State	PIN Code
Country		
E-mail	Phone No. (L) STD Code	Mobile

(i) All the details in the relationship record of the Applicant(s), are true and correct and any instructions given to the Bank to transact business on behalf of the Applicant(s) shall be in due conformity with the applicable laws as may, for the time being, be in force.

(ii) The Applicant(s) is/ are not a US citizen(s) or resident(s) or Greencard holder(s).

(iii) Any tax implications arising out of any transaction entered into pursuant to this Agreement would be as per the provisions of the applicable laws of India.

(iv) The Applicant(s) has/ have executed and delivered or will execute and deliver to the Bank all such documents specified or as maybe specified by the Bank from, time to time.

(v) All the information submitted and declarations made by the Applicant(s) in this Application is/ are true.

	Sole/ First Applicant Authorised Signatory	Joint Applicant 1 Authorised Signatory 1	Joint Applicant 2 Authorised Signatory 2
Name	_____	_____	_____
Signature	_____	_____	_____
Date	<div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> </div> <div> D D M M Y Y Y Y </div>	<div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> </div> <div> D D M M Y Y Y Y </div>	<div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> <div><input type="text"/></div> </div> <div> D D M M Y Y Y Y </div>

Document Name	RM/ BDM/ FC/ Others	Private Banking Operations
Investment Overview Form		
Application forms of respective Fund House (No.)		
Risk profile questionnaire		
Board Resolution/ Authority Letter/ MoA/ AoA Partnership Deed/ Trust Deeds		

Name \_\_\_\_\_ Signature \_\_\_\_\_ Date \_\_\_\_\_

☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐

D D M M Y Y Y Y

2) Acquisition Manager \_\_\_\_\_ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐  
 Branch Mgr. (Optional) \_\_\_\_\_ D D M M Y Y Y Y

3) (RM/ BDM/ FC/ Others) \_\_\_\_\_ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐  
 \_\_\_\_\_ D D M M Y Y Y Y

### III. Information provided by RM/ CSE/ BDM in case of existing db customers

CIF No.

### IV. To be filled in by Operations

Investment Folio No./EEC Code \_\_\_\_\_ Date of opening ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐  
 \_\_\_\_\_ D D M M Y Y Y Y

Investment Overview Account - Opened by \_\_\_\_\_ Authorised by \_\_\_\_\_

### Terms and Conditions

#### 1. Opening and Operations of Investment Overview-

The Applicant(s) hereby request(s) Deutsche Bank ("the Bank") to open an Investment Overview Account with the Bank and appoint(s) the Bank as the agent of the Applicant(s) to purchase, hold, subscribe to, transfer, switch and redeem, from time to time, units of Mutual Funds on behalf of the Applicant(s) and also to provide Investment Services such as sending investment statements/updates, etc., in respect of the units so purchased, as maybe instructed by the Applicant(s), from time to time, on the terms and conditions mentioned herein. Such services are hereinafter referred to as "the Services".

#### 2. Communication of Instructions

- In case Investment Overview Account is operable by any one or survivor, all Applicants authorise the Bank to accept, switch and redemption instructions from any one of the Applicants of the Investment Overview Account.
- In case the Investment Overview Account is operable only jointly, all instructions for purchases, switches and redemption should be given by the Applicants jointly.
- The Bank may, in its discretion, not carry out my/our instructions of the Applicant(s), where the Bank has reason to believe (which decision of the Bank the Applicant(s) shall not question or dispute) that the instructions are not genuine or are otherwise improper or unclear or raise a doubt.
- The Bank shall not be liable if any instructions are not carried out for any reason whatsoever.
- The Bank shall not be obliged to accept from the Applicant(s) any oral or facsimile instructions. In order to, however, induce the Bank to act upon communications and instructions transmitted by manual procedures (Facsimile, Mail, Messenger, Telephone in case of Phone Banking only) the Applicant(s) hereby irrevocably agree(s) that, absent the Bank's gross negligence, bad faith or willful misconduct, as long as the Bank acts in compliance with such authorisation/instruction, the Bank shall be indemnified for and held free and harmless from and against any and all responsibility for, any and all costs, claims, losses or liabilities of any nature (direct or indirect) resulting from any act of omission (or arty delay) or the basis of instructions to the Bank to purchase, sell or otherwise dispose of commodities or securities, together with any and all attendant costs and expenses including the Bank's reasonable legal fees and expenses, collectively referred to as "losses".
- Nothing contained herein shall require the Bank to violate any applicable laws, rules or procedures/instructions.
- The Bank shall have no further duty to verify the content of any instruction or communication or the identity of the sender or confirmer thereof, if any, and the Applicant(s) expressly agree(s) to be bound by any instructions and communications, whether or not authorised, sent in its name and accepted by the Bank.

#### 3. Liability in case of more than one Applicant In case of more than one Applicant, all the liabilities and obligations of the Applicants to the Bank shall be joint and several.

#### 4. Third Party Risk

- The Bank shall not be liable for any loss or damage caused by reason of failure or delay by the mutual fund to deliver any units purchased even though payment may have been made for the same or failure or delay in making payment in respect of any units sold though they may have been delivered and the Applicant(s) shall save the Bank harmless and free from any claim in respect thereof.
- The Bank shall also not be liable for any delay, failure or refusal of the mutual fund/any company/corporation or other body in registering or transferring units to the name(s) of the Applicant(s) or for any interest, dividend or other loss caused to the Applicant(s) arising there from.

#### 5. Liability of the Bank

- Without prejudice to what is stated above, the Bank shall not be held liable for or by reason of any loss or damage or failure to comply or delay in complying with its obligations under these terms and conditions which is caused directly or indirectly any event or circumstances beyond the Bank's reasonable control.
- The Bank shall not be liable for and the Applicant(s) shall indemnify the Bank for any losses, damages, expenses, costs, liabilities and claims of whatsoever nature caused by fraudulent or unauthorised use of the signature(s) of the Applicant(s).
- The Bank will not be liable for any error or inaccuracies in any of the publicly available information that maybe provided to the Applicant(s) by the Bank. The Bank shall be under no duty to verify compliance with any restrictions on the investment powers of the Applicant(s).
- The Bank will not be liable for any loss, damage, cost, charges or expenses directly or indirectly caused by reason of any defects or imperfection or mechanical or other failure with relation to computer, cable, telex, telephone, or postal system.
- The bank may, from time to time, vary, in writing, the terms and conditions of the Investment Services Account.

#### 6. Powers and Authorisations in favour of the Bank

The Bank shall, without any obligation in that behalf on the part of the Bank, have, and the Applicant(s) hereby (jointly and severally) authorise(s) the Bank, acting through any of its authorised officers, to exercise, at the risk and cost of Applicant(s), the following powers on behalf of the Applicant(s) for the purpose of the Investment Services at such time and in such manner as it may in its discretion think fit for the purpose of performing its powers, duties and responsibilities set out hereunder

- To redeem/ sell the Units/ Securities held by the Applicant(s) (whether solely or jointly with another or others) based on instructions of the Applicant(s).
- To collect from the Applicant(s) and deposit cheques in favour of the Fund House for purchase of units.

- (c) To collect from the Fund House and send to the Applicant(s), cheques in favour of the Applicant(s) on redemption of units.
- (d) To correspond with, instruct or give notice to the Fund Hoge or corresponding Asset Management Company on behalf of the Applicant(s) with regard to any matter inter alia including nomination/change, in investment plans or any other changes that may be necessitated.
- (e) To do or omit to do all such acts and things as the Bank may in its sole discretion consider to be necessary or desirable in order to perform its duties or exercise of powers set out in these terms and conditions or with a view to comply with any laws, orders, rules, regulations or directions of any government or regulatory or other authorities.

#### 7. Role of the Bank

- (a) The Applicant(s) expressly agree(s) and acknowledge(s) that the Bank is only an agent and not an advisor in offering this Service and any information contained in the Bank's brochure or other material or otherwise communicated by the Bank, shall not be construed by the Applicant(s) as investment advice and that all decisions to purchase or sell Units/Securities made by the Applicant(s) shall be on the basis of the own personal judgement of the Applicant(s) arrived at after due consideration and, if so deemed fit by the Applicant(s), pursuant to the Applicant(s) consulting his/her/their own external investment consultant.
- (b) The Applicant(s) understand(s) and agree(s) that the Bank does not in any manner
  - (i) provide any buy/ sell or other recommendations; or
  - (ii) guarantee payment on any units; or
  - (iii) guarantee the liquidity of any units; or
  - (iv) make any offer to buy-back any units; or
  - (v) guarantee the redemption or repayment of any units on maturity; or
  - (vi) guarantee the payment of interest or dividend; or
  - (vii) promise, indicate or guarantee any returns.
- (c) If, notwithstanding, anything stated herein, the Bank or any employee of the Bank gives any advice or makes any representation to the Applicant(s), the Bank shall have no liability for any such advice or representation made as it will be the responsibility of the Applicant(s) to make an independent assessment.
- (d) The Applicant(s) understand(s) that any sum invested through Deutsche Bank is not a deposit with Deutsche Bank. The same is not endorsed or guaranteed and does not constitute obligations of Deutsche Bank India, Deutsche Bank Germany or any of their subsidiary associated or affiliated companies. The role of the Bank is only as described in these terms and conditions.
- (e) The securities purchased are subject to investment risks, including the possible loss of principal amount invested. The value of the units/securities of the Applicant(s) paid, depend upon the market value of the securities in the fund or trust at the time of redemption. Past results are not a guarantee to future performance. Yield or performance fluctuates and reflects past results. Yield or a fund's past performance should not be considered as an indication or guarantee of future yield or results.
- (f) The Bank shall be under no duty to assess the prudence or otherwise of any instructions given by the Applicant(s) or to give any advice in relation thereto and would be justified in acting on the instructions of the Applicant(s), irrespective of their prudence or otherwise.

#### 8. Correspondence

- (a) Any notice or other correspondence addressed by the Bank to the Applicant(s) maybe addressed to the first Applicant holder above at the address given by the Applicant(s) above herein or to such other address as the Bank maybe aware.
- (b) If, according to the Applicant(s), there is any discrepancy in the particulars or details of any transaction or account or statement, then, the Applicant(s) shall be obliged to intimate the same to the Bank in writing within 10 days of the Sole/ First Applicant receiving notice thereof, failing which such transaction, statement or account (as the case maybe) shall be deemed to be correct and accepted by the Applicant(s) and the Applicant(s), shall not be entitled to question the correctness or accuracy thereof.
- (c) The Bank reserves the right to communicate with and send statements and other particulars to the first Applicant by e-mail/facsimile at the e-mail address/ facsimile number that maybe communicated by the first Applicant to the Bank. The Bank shall, however, not be bound to communicate over facsimile or e-mail.

#### 9. Miscellaneous

- (a) Any utilisation of the Services would clearly imply that the Applicant(s) has/ have read, understood and agreed to abide and be bound by these terms and conditions, which express the complete, exclusive, and final understanding of the parties with regard to the Services and may not be altered, amended or modified except in writing by the Bank.
- (b) These terms and conditions will bind not only the Applicant(s) but also his/her/their respective successors and legal representatives in respect of the Services availed of from time to time by the Applicant(s).
- (c) The terms and conditions that by their nature and content are intended to survive even after termination of the Services hereunder, shall so survive the completion and termination of the Services.
- (d) Failure by the Bank at anytime to enforce any obligation of the Applicant(s) or to claim a breach of any term or condition or to exercise any power agreed to hereunder or under any other document executed by the Applicant(s) in favour of the Bank will not be construed as a waiver of any right, power, or obligation under these terms and conditions and it will not affect any subsequent breach and will not prejudice the Bank as regards any subsequent action.
- (e) If any term or condition herein should be declared invalid by a court of competent jurisdiction, the remaining terms and conditions herein shall remain unimpaired and in full force and effect.
- (f) The rights of the Applicant(s) hereunder may not be assigned, in whole or in part, by the Applicant(s).

#### 10. Termination

- (a) The Investment Overview account shall continue until terminated by either party.
- (b) Either party shall be entitled to terminate this Contract forthwith by giving notice in writing in that behalf to the other party, provided that the Applicant(s) shall not be relieved of his/her/their obligations hereunder, notwithstanding such termination, incurred prior to the date on which such termination shall become effective.
- (c) Upon termination, the money and Units/Securities with the Bank under the Investment Overview Account maybe paid or delivered (as the case maybe) by the Bank, after deduction of the Bank's dues, to the Sole/ First Applicant above and the same shall be a valid and full discharge to the Bank.

#### 11. Governing Law and Jurisdiction

- (a) All disputes and differences arising out of, under or in connection with the Investment Overview Account or anything done in connection therewith, shall be within the exclusive jurisdiction of the courts only of the city in which the branch of the Bank at which the Investment Overview Account is opened and maintained.
- (b) Further, these terms and conditions and the opening and maintenance of the Investment Overview Account are subject to and shall be construed in accordance with the laws prevalent in force in India.

## Good reasons for you to shape your financial future with Deutsche Bank:

- Deutsche Bank brings you a wide range of customised products and services that would suit your banking needs
- With Deutsche Bank, you can carry out your day-to-day banking businesses anytime, anywhere

Deutsche Bank - your financial partner,  
Deutsche Bank has successfully partnered millions of demanding customers across the world by pursuing excellence, building long term relationships, delivering innovative solutions and leveraging unique insights.

For more information:



Please visit our bank branches



Call our 24-hour Phone Banking at 1860 266 6601<sup>#</sup>



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