Deutsche Bank

Customer Order Form (Mutual Funds)

Non-individual

CAMS

DSP | Franklin Templeton | HSBC | SBI | ABSL |
HDFC | ICICI | Bandhan | 360 ONE | Kotak | Tata MF
PPFAS | WhiteOak Capital

Deutsche Bank

Customer Order Form (Mutual Funds)

Before you invest in any fund, we urge you to understand your Investment Objective and Constraints and then select appropriate Risk Profile or Product category. Enclosed is the gist of the 4 broad universal Investment needs and Suitable products respectively. Further, please find below the characteristics of mutual funds' broad categories for your informed investment decision making.

Objective	Liquidity (L)	Protection (P)	Growth (G)	Tax and Solution oriented (TS)
Description	Park money for Liquidity needs	Capital Preservation	Wealth Creation	Save Tax with Capital Appreciation and/ or fulfill a specific goal

Mutual Fund Categories

Please Tick	Objective	Fund Type	Risk	Liquidity	Return Predictability	Risk Class
☐	Liquidity	Overnight/ Liquid/ Ultra-short/ Low duration/ Money market	Very Low	Very High	High	1
☐	Protection	Floater/ Short term/ Corporate bond/ Banking and PSU/ Arbitrage	Low	High	High	2
☐	Protection	Medium duration/ Medium to Long duration/ Long duration/ Dynamic bond/ Gilt	Low	Moderate	Moderate | High	3
☐	Protection	Conservative hybrid/ Equity savings	Low	High	High	2
☐	Growth	Largecap/ Large and Midcap/ Flexicap/ Multicap/ Dividend Yield/ Contra/ Focused/ Value/ Index	High	High	Low	3
☐	Growth	Fund of Funds (Domestic/ Overseas)/ Gold Funds	High	Moderate	Low	3
☐	Growth	Credit risk/ Midcap/ Smallcap/ Sectoral/ Thematic	Very High	Low	Low	4
☐	Growth	Dynamic asset allocation/ Balanced advantage/ Aggressive hybrid/ Multi-asset allocation	High	High	Low	3
☐	Tax and Solution oriented	Children's fund/ Retirement fund/ ELSS	Low	Moderate	Moderate | High	2 / 3

Very Low	Low	Moderate	High	Very High

Note: The characteristics and risk class are indicative only. For further details or product specific information, read the scheme specific KIM/ SID. You may also contact your RM or branch. As the next step, you may want to refer to the Deutsche Bank's Approved List of Mutual Funds for investment decision making. This Approved List of funds is prepared by the Deutsche Bank's Investment Specialists based on our proprietary tool using quantitative and qualitative parameters.

Risk Profile Categories and Product Risk Class

Please Tick	Risk Profile	Product categories
☐	Very Conservative	No investment in Risk class 4 Products
☐	Conservative	< ~30% portfolio in Risk class 4 Products
☐	Moderate	< ~50% portfolio in Risk class 4 Products
☐	Aggressive	< ~70% portfolio in Risk class 4 Products
☐	Very Aggressive	~ 90% portfolio in Risk class 4 Products

Product Risk Class	Classification Criteria
1	Very low risk/ volatility, High liquidity
2	Low risk/ volatility, High liquidity
3	Low to medium risk/ volatility, Medium liquidity
4	Medium to high risk/ volatility, Low liquidity

Deutsche bank states that the list of Approved investment schemes is for investor's guidance only. It is not obligatory on investors to invest in these schemes. The Approved list and above-mentioned Product characteristics and Risk class or other reports/ communications covering indicative Asset-allocation, Research updates on markets/ asset – classes etc. are shared with the bank's customers in order to facilitate informed investment decisions. These are not to be construed as an advice or offer to transact or a solicitation. Please read the disclaimers attached with such reports carefully to know the bank's position on the subject matter.

— *Signature as per Investment Board Resolution

*Customer Signature with Company Stamp

Authorised Signatory 1 ____________ Authorised Signatory 2 ____________ Authorised Signatory 3 ____________

Offline Order Form for Mutual Fund transactions through db WealthPro

(One form to be used for transacting in all schemes of Online Enabled AMCs)

We request you to handover only the duly completed and signed Order Forms to the Bank branch or your Relationship Manager. Please do not leave any blanks in the Form. Please strike off any section which is not filled and/ or is not required.

Investor Details – For Buy/ Sell/ SIP/ SWP Transactions

Date: D D M M Y Y Y Y Customer ID: ____________________ Portfolio Name: ____________________

Corporate/ Partnership/ HUF Name: ____________________

Authorised Signatory 1: ____________________

Authorised Signatory 2: ____________________

Authorised Signatory 3: ____________________

Corporate/ Partnership/ HUF requests you to execute the following investments/ transactions on Corporate/ Partnership/ HUF behalf in the above mentioned Portfolio Name.

Corporate/ Partnership/ HUF authorises you to Debit Corporate/ Partnership/ HUF Bank Account No. ____________________ with

Deutsche Bank AG (DBAG) for total of ₹ ______________ (In Words ____________________

____________________)

for the below transactions. The subsequent SIP transaction will be executed subject to availability of funds in the above-mentioned Account.

Buy/ Sell

Sr. No.	Name of Scheme with option	Folio No.	Type of Transaction	Amount (₹)	No. of Units	Need (L/P/G/TS)
1			☐ Buy ☐ Sell			
2			☐ Buy ☐ Sell			
3			☐ Buy ☐ Sell			
4			☐ Buy ☐ Sell			
5			☐ Buy ☐ Sell			
6			☐ Buy ☐ Sell			

SIP/ SWP

Sr. No.	Name of Scheme with option	Folio No.	Type of Transaction	Amount (₹)/ Units	Scheme details	Need (L/P/G/TS)
1			☐ SIP ☐ SWP ☐ Cancel SIP/ SWP		Frequency: No. of Installments: Start Date:	
2			☐ SIP ☐ SWP ☐ Cancel SIP/ SWP		Frequency: No. of Installments: Start Date:	
3			☐ SIP ☐ SWP ☐ Cancel SIP/ SWP		Frequency: No. of Installments: Start Date:	
4			☐ SIP ☐ SWP ☐ Cancel SIP/ SWP		Frequency: No. of Installments: Start Date:	
5			☐ SIP ☐ SWP ☐ Cancel SIP/ SWP		Frequency: No. of Installments: Start Date:	
6			☐ SIP ☐ SWP ☐ Cancel SIP/ SWP		Frequency: No. of Installments: Start Date:	

— Corporate/ Partnership/ HUF understands that the redemption proceeds (net of exit load and taxes, if any) will be credited by the AMC/ Fund House to Corporate/ Partnership/ HUF Bank account mapped to Corporate/ Partnership/ HUF folio as per their records.

— All valid instructions received prior to 1300 hrs (For Liquid Funds) and 1400 hrs (For Non – Liquid Funds) on any business weekday will be processed on the same day.

— *Signature as per Investment Board Resolution

*Customer Signature
with Company Stamp ______________ ______________ ______________

Authorised Signatory 1 Authorised Signatory 2 Authorised Signatory 3

Offline Order Form for Mutual Fund transactions through db WealthPro

(One form to be used for transacting in all schemes of Online Enabled AMCs)

We request you to handover only the duly completed and signed Order Forms to the Bank branch or your Relationship Manager. Please do not leave any blanks in the Form. Please strike off any section which is not filled and/ or is not required.

Investor Details – For Switch/ STP Transactions

Date: D D M M Y Y Y Y Customer ID:_______________ Portfolio Name: _______________

Corporate/ Partnership/ HUF Name : _______________

Authorised Signatory 1: _______________

Authorised Signatory 2: _______________

Authorised Signatory 3: _______________

Corporate/ Partnership/ HUF requests you to execute the following investments/ transactions on Corporate/ Partnership/ HUF behalf in the above mentioned Portfolio Name.

Switch/ STP

Sr. No.	Transfer 'From' Scheme with option	Folio No.	Type of Transaction	Amount (₹) / Units	Transfer 'To' Scheme with option	Investment details	Need (L/P/G/TS)
1			☐ STP ☐ Switch ☐ Cancel STP/ Switch			Frequency: No. of Installments: Start Date:	
2			☐ STP ☐ Switch ☐ Cancel STP/ Switch			Frequency: No. of Installments: Start Date:	
3			☐ STP ☐ Switch ☐ Cancel STP/ Switch			Frequency: No. of Installments: Start Date:	
4			☐ STP ☐ Switch ☐ Cancel STP/ Switch			Frequency: No. of Installments: Start Date:	
5			☐ STP ☐ Switch ☐ Cancel STP/ Switch			Frequency: No. of Installments: Start Date:	
6			☐ STP ☐ Switch ☐ Cancel STP/ Switch			Frequency: No. of Installments: Start Date:	
7			☐ STP ☐ Switch ☐ Cancel STP/ Switch			Frequency: No. of Installments: Start Date:	
8			☐ STP ☐ Switch ☐ Cancel STP/ Switch			Frequency: No. of Installments: Start Date:	

— The net amount available post switch out will be subject to deduction of charges and tax, if applicable, by the respective AMC/ Fund House.
— All valid instructions received prior to 1300 hrs (For Liquid Funds) and 1400 hrs (For Non – Liquid Funds) on any business weekday will be processed on the same day.
— *Signature as per Investment Board Resolution

*Customer Signature with Company Stamp _______________ _______________ _______________

Authorised Signatory 1 Authorised Signatory 2 Authorised Signatory 3

Corporate/ Partnership/ HUF also confirms that:

1. Corporate/ Partnership/ HUF is/ are placing instructions for these transactions at its own will and volition without being influenced by any advice or solicitation by Deutsche Bank (The "Bank") and after due consideration of Corporate/ Partnership/ HUF risk appetite and investment needs. Corporate/ Partnership/ HUF hereby authorises the Bank to communicate these instructions to the concerned Asset Management Company, including its agents, ("AMC") at Corporate/ Partnership/ HUF risk and cost. Corporate/ Partnership/ HUF shall be responsible for the completeness and correctness of the information filled in the form and agree not to hold the AMC and the Bank or its employees liable for any consequences in case of any incorrect, incomplete information for any reason whatsoever. The bank shall try its best to deliver the correct and timely instructions to the AMC, however, shall not be held responsible for any delay in such communication and any loss sustained in this regard.
2. Corporate/ Partnership/ HUF has read and understood the terms and conditions for third party products as stated in the Investment overview form and hereby expressly acknowledge them. Corporate/ Partnership/ HUF has read and understood the contents of the Scheme Information Document/ Offer document and the Key Information Memorandum of the above-mentioned scheme(s). Corporate/ Partnership/ HUF agrees to abide by and be bound by the terms, conditions, rules and regulations thereof.
3. Corporate/ Partnership/ HUF hereby declares that the amount being invested by it in the above scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any Governmental or Statutory authority from time to time.
4. Corporate/ Partnership/ HUF understands that, a PAN is required to be quoted for Mutual Fund investments for all unit holders and that where a PAN is quoted, a self-attested and verified copy of the same is required. Corporate/ Partnership/ HUF further understands that it needs to comply with the KYC formalities for investing in mutual funds and proof of the completion of KYC formalities is also required. Corporate/ Partnership/ HUF confirms having complied with these requirements. Corporate/ Partnership/ HUF also understand that from time to time, any and all the changes in the regulatory guidelines shall be applicable to Corporate/ Partnership/ HUF investments. Corporate/ Partnership/ HUF understands and agree that Corporate/ Partnership/ HUF investments will not be processed in case it does not comply with these requirements.
5. Corporate/ Partnership/ HUF hereby confirms that the terms and conditions stated in this form shall be read in conjunction with the terms of the Investment Overview Form and General Business Conditions laid down by the Bank.
6. In accordance with the instructions provided in the Customer Consent Form to the Bank, Corporate/ Partnership/ HUF hereby expressly understands and acknowledges that Corporate/ Partnership/ HUF has been provided complete information of commissions received by the Bank from AMCs for distribution of various Mutual Fund schemes.

*Signature as per Investment Board Resolution

*Customer Signature with Company Stamp ______________ ______________ ______________

Authorised Signatory 1 | Authorised Signatory 2 | Authorised Signatory 3

Customers Name: ______________ ______________ ______________

Authorised Signatory 1 | Authorised Signatory 2 | Authorised Signatory 3

For 'Execution only' or 'Make-Available' products

Corporate/ Partnership/ HUF confirms that it has not received any investment advice, suggestions or other guidance from the Bank relating to the investment(s) detailed above. Any transaction that Corporate/ Partnership/ HUF has decided to make is being made at its own volition and at its own risk having read and understood all relevant product literature. Corporate/ Partnership/ HUF acknowledges and understands that the arrangement of any transaction by the Bank on its behalf is not a confirmation or an endorsement from the Bank that is suitable for it.

Authorised Signatory 1 | Authorised Signatory 2 | Authorised Signatory 3

For investment products purchased outside the Bank's list of Approved schemes.

Corporate/ Partnership/ HUF understands that the Bank's list of approved investment schemes is for Corporate/ Partnership/ HUF guidance and not obligatory for it to invest in these schemes. The investment scheme(s) selected by it are based on Corporate/ Partnership/ HUF's independent judgment/ discretion with Corporate/ Partnership/ HUF's full knowledge of risks involved.

Authorised Signatory 1 | Authorised Signatory 2 | Authorised Signatory 3

For investment products not matching the established Risk Profile

Corporate/ Partnership/ HUF is aware that investment product(s) detailed above deviates from its recommended asset allocation as per its risk profile. Corporate/ Partnership/ HUF hereby confirms that it is making the present investments on its free will and volition being cognizant of Corporate/ Partnership/ HUF's risk appetite and understands that these investments may not be aligned with the recommended asset allocation of the Bank. Corporate/ Partnership/ HUF is fully aware of the risk involved in the investment products.

Authorised Signatory 1 | Authorised Signatory 2 | Authorised Signatory 3

For Internal Use only:

Sourcing Code: ______________ Lead Code: ______________ Order No: ______________

VUC Customers (Retired Individuals/ Person >= 70 years/ Students/ Homemakers): ☐ Yes ☐ No ☐ Not Applicable

If Yes, Supervisor Sign-Off: ______________

Maker Name: ______________ Maker Sign: ______________ Checker Name: ______________

Remarks (If Any): ______________ Checker Sign: ______________